Settlement Agreement between the Maine Department of Labor and Shannon's Best Buds, LLC. Inspection #462279

This Agreement is entered into by and between the Maine Department of Labor, Bureau of Labor Standards and Shannon's Best Buds, LLC (hereinafter called "EMPLOYER") to address and resolve violations of Title 26 set forth in the attached citation letter dated August 8, 2022, Inspection # 462279.

RECITALS

Shannon's Best Buds, LLC is a corporation in good standing authorized to do business in Maine. Michael Shannon is the owner, and he is authorized to bind the corporation and enter into this Settlement Agreement.

TERMS

Compliance Monitoring

For two (2) years following the execution of this Agreement by the Director, EMPLOYER shall provide The Maine Department of Labor, hereinafter called "AGENCY", access to EMPLOYER'S place(s) of business, records necessary to establish compliance with state and federal wage & hour laws and this Agreement, and contact information for employees upon request. EMPLOYER shall submit records to AGENCY within five (5) calendar days of a request for records under this Paragraph.

Internal complaint and investigatory procedure

EMPLOYER agrees that within sixty (60) days of the full execution of this Agreement, EMPLOYER shall create and implement procedures, subject to AGENCY'S approval,

- A. For employees to complain to EMPLOYER regarding violations of this Agreement or violations of any of the laws enforced by AGENCY; and
- B. For the investigation and resolution of any complaints regarding such alleged violations.

EMPLOYER agrees to maintain a written log of all formal and informal complaints by employees or their representatives that shall include a written record of at least the following information:

- (a) name of the complainant;
- (b) nature of the complaint;
- (c) person to whom the complaint was made;
- (d) date of complaint,
- (e) nature of the investigation into the complaint;
- (f) resolution of the complaint;
- (g) date of the resolution of the complaint; and
- (h) date the resolution was communicated to the complainant.

EMPLOYER agrees to maintain these records for a period of at least three (3) years after the Agreement is executed by the Director and to produce such documents to AGENCY upon request at any reasonable hour.

Employer training (given by agency)

Within sixty (60) days of the Director's execution of this Agreement, EMPLOYER shall contact AGENCY'S Chief Labor & Safety Inspector, Bartlett Hutchinson, at 207-623-7951 to schedule training.

Within six (6) months of the Director's execution of this Agreement, all of EMPLOYER'S management staff shall attend training hosted by AGENCY. For purposes of this agreement, management includes each individual who supervises or will supervise any of EMPLOYER'S employees. EMPLOYER shall count training as hours worked for anyone in management that may attend.

Acknowledgement and Admission of violations

EMPLOYER acknowledges and admits to the violations of 26 MRS §622 identified on the citation letter dated August 8, 2022, attached to this agreement.

Technical assistance

Offer of technical assistance

AGENCY invites EMPLOYER to contact Chief Labor & Safety Inspector, Bartlett Hutchinson, at 207-623-7951 for confidential technical assistance.

COMPROMISE OF PENALTIES

EMPLOYER agrees to make procedural changes to ensure compliance with all of Maine's labor laws. EMPLOYER agrees to pay \$1,730.00 of the assessed penalty upon execution of this Agreement. AGENCY agrees to suspend the remaining balance of \$15,570.00 if

EMPLOYER complies with the terms of this Agreement and has no additional violations of 26 MRS §622 for two years from the date of the Agreement.

By entering into this Settlement Agreement, EMPLOYER waives its right to appeal the findings of the violations set forth in the attached citation letter.

In the event of any breach of this Settlement Agreement, AGENCY may enforce the entire amount of the penalties set forth in the attached citation letter, along with penalties for any additional violations subsequent to the date of this Agreement in State of Maine Superior Court.

Michael Roland Director, Bureau of Labor Standards Maine Department of Labor	Date: 9/6/2022
ID qBRmg2X4ZcXcizbq2tPXvwjb Michael Shannon, Owner	Date:

Shannon's Best Buds, LLC